

STATE OF NORTH CAROLINA		In The General Court Of Justice		Capital Charge File No.	
		☐ District			
County		☐ Superior Court Division		Additional File No(s).	
☐ Check Here If This Fee Application Covers Multiple Charges					
Name And Address Of Indigent Defendant		CAPITAL CASE FEE APPLICATION ORDER FOR PAYMENT JUDGMENT AGAINST INDIGENT			
		G.S. Ch. 7A, Art. 36, Art. 37; Ch. 15A			
Indigent Defendant's Full Social Security No. - Only Complete If Judgment For Fees Has Been Entered (required by G.S. 7A-455(d))					
		☐ Has No Social Security No.		☐ Unable to obtain Social Security No. despite reasonable efforts	
NOTE: Per G.S. 7A-455(d), the fee application must include the social security number (SSN) of a party against whom a judgment for attorney's or GAL's fees has been entered under G.S. 7A-450.1 - 450.3 or 7A-455 (or certify that the SSN cannot be obtained with reasonable efforts). These SSNs are expressly required by law and therefore exempt from filers' redaction requirements in G.S. 132-1.10(d). When filing electronically, select the "Public Not Portal" security setting so that unredacted fee applications are not available on Portal.					
NOTE: Use this form for potentially capital cases at the provisional or trial level, and for capital appeals or post-conviction proceedings. INSTRUCTIONS: Applicant completes and signs Section I, and mails to: Office of Indigent Defense Services, 123 W. Main St., Suite 400, Durham, NC 27701. If this is an application for interim payment, the IDS Office will complete Section II and forward a copy of this form to the IDS Financial Services Office for payment. If this is an application for final payment, the IDS Office will complete Sections II and III, and forward the original form to the trial judge, and copies of the form to the defendant and the IDS Financial Services Office for payment. The trial judge then completes Sections IV and V. The Clerk docket(s) the judgment(s). Send to IDS for payment only if application is by "private assigned counsel."					
I. APPLICATION					
I, the undersigned ☐ private assigned counsel make application for payment and reimbursement of necessary expenses incurred.					
☐ public defender ☐ capital defender ☐ appellate defender ☐ provisional counsel make application for determination of value of services rendered for the indigent.					
I certify that this information is correct to the best of my knowledge.					
NATURE OF PROCEEDING:		☐ Trial Stage (if checked, complete (a) below) ☐ Resentencing Only (if checked, complete (a) below)			
		☐ Direct Appeal (if checked, complete (b) below) ☐ Other: (specify) _____			
		☐ Motion for Appropriate Relief (MAR) (if checked, complete (c) below)			
CASE STATUS/TYPE OF DISPOSITION: Complete <u>ONLY the one section below</u> applicable to the fees now being requested:					
(a) Trial Court, Disposed by Trial, Dismissal or Guilty Plea: (check all that apply)			(b) Capital Appeals:		
☐ Case Pending (Interim Fee) ☐ Jury Impaneled ☐ Jury Death Qualified			☐ Case Pending (Interim Fee)		
☐ Jury Verdict ☐ Mistrial ☐ Guilty Plea			☐ No Error		
			☐ Guilt Affirmed, Resentencing		
			☐ New Trial (Conviction Reversed)		
			☐ Other: (specify) _____		
RESULT:			(c) Post-Conviction Or Other:		
☐ Death Sentence			☐ Case Pending (Interim Fee)		
☐ Life, 1st Degree Murder: Jury sentencing hearing? ☐ Yes ☐ No			☐ MAR: ☐ Denied ☐ New Trial ☐ Resentencing		
☐ 2nd Degree murder ☐ Vol. Manslaughter ☐ Invol. Manslaughter			☐ Other: _____		
☐ Acquitted ☐ Dismissed ☐ Not Guilty By Reason Of Insanity			☐ Certiorari Denied ☐ Certiorari Granted		
☐ Guilty Other Offense(s): (list) _____			☐ Other: (specify) _____		
☐ Other Result: (explain) _____					
FINAL FEES ONLY:		Disposition Date	Name Of Presiding Judge	☐ Check here if you were appointed to represent this defendant in another case(s) at the time of the appointment to this case(s) and you already submitted a fee application for that case(s) in which the attorney appointment fee was charged.	
IF ATTORNEY IS WITHDRAWING, indicate reason:		☐ Applicant was assistant counsel, case no longer capital ☐ Conflict of interest			
		☐ Defendant retained private counsel ☐ Other: (specify) _____			
COMPLETE FOR THIS FEE: ☐ Check here to indicate you have attached detailed time sheets. See Sec. 10.1 of S.L. 2020-83. (Time must be reported in decimals , not minutes.)		Beginning Date <u>This Fee Request</u>	Ending Date <u>This Fee Request</u>	Prior Total Fees And Expenses Allowed \$	Prior Total Hours Allowed
		Time In Court	Time In Court Waiting	Time Out Of Court	Total Time Claimed This Fee
		Travel \$	Copying \$	Other \$	Total Necessary Expenses This Fee \$
NOTE: In assigned counsel cases, the applicant is always the individual attorney. If payment is to be made to individual applicant, write "same" under Payee. If payment is to be made to applicant's firm, give firm name as Payee. Per United States tax law and State policy, the Payee must have a State of North Carolina Substitute W-9 Form on file with IDS Fiscal Services, PO Box 2448, Raleigh, NC 27602. See www.osc.nc.gov/state-north-carolina-sub-w-9 . Failure to provide this form could prevent or delay payment or require the State to withhold 24% for backup withholding tax.					
Name Of Applicant		Applicant Bar No.		Address	
Payee (see Note)					
Telephone No.		Email Address		Date	Signature Of Applicant

(Over)

II. AWARD OF PAYMENT OR DETERMINATION OF VALUE OF SERVICES

The IDS Director FINDS that the "Total Amount" stated on Line 3 below be:

- ☐ 1. paid by the State of North Carolina to the payee named above.
- ☐ 2. fixed as the value of the legal services and other expenses of representation rendered by the public defender, capital defender, or appellate defender named above.

1. Fees Allowed/Value Of Services Rendered (Hours Approved x IDS Rate of \$ /hr.) =	\$
2. Other Necessary Expenses Allowed By The IDS Director	\$
3. TOTAL AMOUNT	\$

Date	IDS Director Whitney B. Fairbanks	Signature Of IDS Director
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III. SUM OF ATTORNEY AND SUPPORT SERVICE FEES AND EXPENSES

NOTE: The Office of Indigent Defense Services completes this section.

A. Attorney Fees And Necessary Expenses:

SUBTOTAL A (sum of all attorney fees and expenses paid to this attorney, on this and all prior applications) \$

B. Support Services Fees And Expenses: (sum of ALL amounts incurred throughout the case)

1. Provisional counsel	\$	4. Mitigation, investigation	\$
2. Psychiatrists, psychologists	\$	5. Scientists, forensics, DNA	\$
3. Medical doctors	\$	6. Sum of all other	\$

SUBTOTAL B (sum of all support services/expert fees and expenses) \$

GRAND TOTAL A + B (attorney fees and expenses, and support services/experts) \$

IV. FINDINGS OF FACT AND JUDGMENTS

After due notice to the defendant named on the reverse, and opportunity to be heard, the Court finds that the defendant has previously been adjudged to be indigent; that he/she requested and has been provided counsel and other necessary expenses of representation; and that the applicant named on the reverse provided services and incurred expenses of which the money value is that stated in Section III above as to all attorney fees and expenses plus costs for support services and experts incurred in the course of the representation.

NOTE: After award of a final fee, the trial court should sign Section V to enter judgments against the defendant for the full GRAND TOTAL in Section III plus the attorney appointment fee. To enter judgments for a different amount, the trial court must fill in the appropriate blanks below.

JUDGMENT #1 (Attorney Fees and Expenses) Based on the above findings, it is ORDERED that the State of North Carolina recover from the indigent defendant the GRAND TOTAL in Section III above, together with interest at the legal rate from the date the judgment is docketed until paid, **UNLESS** one of the following boxes is checked:

- ☐ 1. The defendant was not convicted of a criminal offense and no judgment for attorney fees and expenses shall be entered; or
- ☐ 2. Other: _____

JUDGMENT #2 (Attorney Appointment Fee) It is further ORDERED that the State of North Carolina recover from the indigent defendant the attorney appointment fee pursuant to G.S. 7A-455.1, which shall be \$50 for convictions prior to October 1, 2010, \$60 for convictions between October 1, 2010 and November 30, 2020, and \$75 for convictions on or after December 1, 2020, **UNLESS** one of the following boxes is checked:

- ☐ 1. The defendant was not convicted of a criminal offense and no judgment for the attorney appointment fee shall be entered; or
- ☐ 2. The attorney named on the reverse was appointed to represent the defendant in another case(s) at the time of the appointment to this case(s), and he or she already submitted a fee application for that case(s) in which the attorney appointment fee was charged (see Section I, "Final Fees Only," on the reverse).

V. SIGNATURE OF JUDGE

The foregoing AWARD OF PAYMENT OR DETERMINATION OF VALUE OF SERVICES, as made by the Director of Indigent Defense Services, shall be entered and filed this day in the office of the Clerk of Superior Court. The FINDINGS and JUDGMENTS of the Court shall be entered and filed this day in the office of the Clerk of Superior Court. The Judgments shall become effective as provided by law.

Date	Name Of Judge (type or print)	Signature Of Judge
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VI. DOCKETING - CSC USE ONLY

NOTE: Docket any judgments immediately on the date on which the defendant's conviction becomes final, unless the defendant is ordered as a condition of supervised or unsupervised probation to pay the State for the costs of his/her representation. If the defendant is so ordered, docket any judgments immediately on the date the defendant's probation is revoked or terminated by the Court, or when the term of probation expires, whichever occurs first; then docket the amounts owed.

Date	Time ☐ AM ☐ PM	Judgment #1 Judgment Abstract No.	Amount Docketed \$
		Judgment #2 Judgment Abstract No.	Amount Docketed \$

NOTE TO CLERK: If Judgment #2 has been ordered by the Court, docket \$50 if the Disposition Date on Side One is before October 1, 2010, docket \$60 if the Disposition Date on Side One is on or after October 1, 2010 and prior to December 1, 2020, and docket \$75 if the Disposition Date on Side One is on or after December 1, 2020.