Summary of North Carolina Expunctions

Please use this summary of North Carolina expunctions as an initial guide to understanding the criteria and filing requirements of the various expunctions in North Carolina. This summary is intended to provide accurate, general information. However, this summary does not fully address the provisions of each expunction statute. In addition, laws and legal procedures are subject to frequent change and differing interpretations, and the North Carolina Justice Center cannot ensure the information in this summary is current, particularly beyond 2014.

C. Daniel Bowes, Attorney
NORTH CAROLINA JUSTICE CENTER
A CRIMINAL RECORD often gives rise to significant barriers to gainful employment, affordable housing, family unification, and a variety of other benefits and opportunities essential to productive citizenship. For assistance in understanding the statutory barriers to particular benefits or opportunities that may arise due to a specific criminal record, please use the UNC School of Government’s Collateral Consequences Assessment Tool at [http://ccat.sog.unc.edu/](http://ccat.sog.unc.edu/).

In North Carolina, an expunction is the destruction of a criminal record by court order. An expunction (also called an “expungement”) of a criminal record restores the individual, in the view of the law, to the status he or she occupied before the criminal record existed. With rare exception, when an individual is granted an expunction, he or she may truthfully and without committing perjury or false statement deny or refuse to acknowledge that the criminal incident occurred. The primary exception to this is for purposes of federal immigration. Please see North Carolina General Statutes §15A-151 for other exceptions.

Contrary to common belief, opportunities to expunge a criminal record in North Carolina are relatively rare. Instead, criminal records eligible for expunction in North Carolina are generally limited to the following three categories:

- A first-time, nonviolent offense committed more than 15 years ago
- A first-time offense committed under age 18/22
- A charge that was dismissed or disposed “not guilty”

This summary provides details of the following twelve expunction statutes:

- Juvenile Record………………………………… NCGS §7B-3200 ……… [p. 3]
- Misdemeanor Under Age 18………………… NCGS §15A-145 ………… [p. 4]
- Gang Offense Under Age 18………………… NCGS §15A-145.1……….. [p. 4]
- Controlled Substance Under Age 22 ……… NCGS §15A-145.2……….. [p. 5]
- Toxic Vapors Under Age 22………………… NCGS §15A-145.3……….. [p. 6]
- Nonviolent Felony Under Age 18…………… NCGS §15A-145.4………… [p. 7]
- Nonviolent Offense…………………………… NCGS §15A-145.5………… [p. 8]
- Prostitution Offense…………………………… NCGS §15A-145.6………… [p. 9]
- Charge Resulting in Dismissal or Not Guilty …. NCGS §15A-146………… [p. 10]
- Identity Theft…………………………………… NCGS §15A-147………… [p. 10]
- DNA Records…………………………………… NCGS §15A-148………… [p. 10]
- Pardon of Innocence…………………………… NCGS §15A-149………… [p. 10]

In addition, this summary provides the following information and resources:

- Certificates of Relief…………………………… NCGS §15A-173………… [p. 11]
- Indigent Fee Waiver ……………………………… [p. 11]
- Steps to Submitting a Petition for Expunction…………………………… [p. 2]
- How to Read an ACIS Criminal Record Report…………………………… [p. 12]
- Petition for Expunction of Nonviolent Offense, Sample……………………… [p. 13]
- Petition for Expunction of Dismissed Charges, Sample……………………… [p. 14]
- Petitioner’s Affidavit, Worksheet……………………………………………… [p. 15]
- Petitioner’s Affidavit………………………………………………………….. [p. 16]
- Affidavit of Good Character, Worksheet………………………………………. [p. 17]
- Affidavit of Good Character…………………………………………………… [p. 18]
Also included in this summary are answers to questions regarding terms, interpretations, and procedures that frequently arise in petitioning for relief under North Carolina’s expunction laws. These frequently asked questions are located on pages 19 through 24. Additional information regarding expunction eligibility and procedures can be found in the UNC School of Government’s Digital Guide to Expunctions, Certificates of Relief, and Other Procedures in North Carolina.

### Steps to Petitioning for Expunction of a Criminal Record

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<tr>
<td>Obtain an Accurate, Complete Copy of the Individual's Criminal Record</td>
<td>Frequently Asked Question 1 [p. 19]</td>
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<tr>
<td>Determine the Individual's Eligibility for Expunction</td>
<td>Expunction Grid [pp. 3-11]</td>
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<tr>
<td>Identify and Obtain the Correct Petition Form</td>
<td>Expunction Grid [3-11]; see also FAQ 2 [p. 19]</td>
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<td>Complete the Petition Form and Any Required Affidavits</td>
<td>Samples [pp.12-18]</td>
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<td>Determine and Follow the County-specific Procedures for Submitting a Petition</td>
<td>FAQ 3 [pp. 19-20]</td>
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<td>Immediately Respond to Notice that Petition has Come Back from the SBI/AOC</td>
<td>FAQ 4 [p. 21]</td>
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<td>If Required, Schedule a Hearing on the Petition for Expunction</td>
<td>FAQ 6 [p. 23]</td>
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<td>Expunction Type/Statute</td>
<td>Criteria</td>
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<tr>
<td>Juvenile Record-Dismissal</td>
<td>1. Alleged by juvenile petition to be delinquent or undisciplined juvenile;</td>
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<tr>
<td>NCGS 7B-3200(h)</td>
<td>2. Juvenile petition was dismissed;</td>
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<td>3. Person has attained the age of:</td>
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<td>i. 16 years if alleged delinquent;</td>
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<td>ii. 18 years if alleged undisciplined.</td>
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<tr>
<td>Juvenile Record-Undisciplined</td>
<td>1. Adjudicated undisciplined;</td>
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<tr>
<td>NCGS 7B-3200(a)</td>
<td>2. Attained the age of 18 years;</td>
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<td>3. Good behavior since adjudication.</td>
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<td>Juvenile Record-Delinquent</td>
<td>1. Adjudicated delinquent;</td>
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<tr>
<td>NCGS 7B-3200(b)</td>
<td>2. Attained the age of 18 years;</td>
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<tr>
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<td>3. Offense for which the person was adjudicated would NOT have been a</td>
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<td>Class A, B1, B2, C, D, or E felony if committed by an adult;</td>
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<td>4. At least 18 months have elapsed since petitioner was released from</td>
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<td>juvenile court jurisdiction;</td>
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<td>5. Not subsequently adjudicated delinquent;</td>
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<td>6. Not convicted as an adult of any felony or misdemeanor, other than a</td>
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<td>traffic violation.</td>
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| **Misdemeanor Under 18** NCGS 15A-145 | 1. Misdemeanor conviction* committed before age 18 OR misdemeanor possession of alcohol (18b-302(b)(1)) committed before age 21  
2. No previous misdemeanor or felony convictions, other than traffic violations.  
3. No subsequent misdemeanor or felony convictions, other than traffic violations, within 2 year waiting period.  
4. At least 2 years have passed since the date of conviction.  
5. The individual has fully completed his or her sentence (incarceration, probation, etc). | Relief is mandatory. If petitioner is eligible for expunction, the court is required to provide it.  
* Both violent and nonviolent misdemeanors are eligible  
Unclear whether multiple misdemeanor convictions occurring in the same session of court may be treated as single conviction. According to an old AG’s opinion, multiple convictions occurring in the same session of court may not be treated as single conviction.  
Having previously been granted an expunction does not make the petitioner explicitly ineligible for expunction under 15A-145. | Form: [AOC-CR-264](#)  
Cost: $175 (but may file indigent fee waiver)  
Required Affidavits:  
 a. Petitioner affidavit stating:  
   i. Has been on good behavior for the 2-year waiting period and has not been convicted of any felony or misdemeanor other than a traffic violation.  
   ii. Petition is a motion in the cause in the case wherein the petitioner was convicted.  
   iii. No restitution orders or civil judgments representing amounts ordered for restitution against him are outstanding.  
 b. 2 affidavits confirming good character from 2 individuals not related to client (or each other) who are familiar with the clients reputation in the community.  
Notice: petition must be served on DA. |
| **Gang Offense Under 18** NCGS 15A-145.1 | 1. Conviction for a Class H felony under Article 13A, Chapter 14, OR an offense for which punishment was enhanced pursuant to GS 14-50.22.  
2. No previous felony or misdemeanor convictions other than a traffic violation.  
3. Date of offense was prior to petitioner’s 18th birthday.  
4. At least 2 years have passed since the date of conviction.  
5. Petitioner has completed all terms of criminal sentence.  
6. No felony or misdemeanor convictions other than traffic offenses for two years from the date of conviction.  
7. No outstanding restitution or civil judgments representing amounts ordered for restitution. | Under this same statute, you can also have a gang-related charge that was dismissed pursuant to NCGS 14-50.29 expunged if criteria identical to expungement of a gang conviction are satisfied. | Form: [AOC-CR-269](#)  
Cost: $175 (but may file indigent fee waiver)  
Required Affidavits:  
 c. Petitioner affidavit stating:  
   i. Has been on good behavior for the 2-year waiting period and has not been convicted of any felony or misdemeanor other than a traffic violation.  
   ii. Petition is a motion in the cause in the case wherein the petitioner was convicted.  
   iii. No restitution orders or civil judgments representing amounts ordered for restitution against him are outstanding.  
 d. 2 affidavits confirming good character from 2 individuals not related to client (or each other) who are familiar with the clients reputation in the community.  
Petition must be served on DA. |
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<th>Filing Requirements</th>
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</table>
| Dismissed Drug Charge Under Age 22             | 1. Charge dismissed under G.S. 90-96(a) or (a1) diversionary program.    | *There is a significant discrepancy here. Statute only seems to require the 2 conditions listed here for eligibility. However, statute also requires affidavit stating that petitioner has no other convictions. If that’s the case, then much more narrow than 15A-146—and so the only time to use this is if one has already received expunction under 15A-146. | Form: AOC-CR-266  
Cost: $175 (unclear if can file indigent waiver)  
Required Affidavits: Petitioner affidavit stating has not been convicted of any other conviction. Affidavits of 2 others attesting to good reputation. |
| Dismissed Drug Charge Under Age 22             | 2. Not over 21 years of age at time of offense.*                         |                                                                                                             |                                                                                                          |
| NCGS 15A-145.2(a)                              | 4. Charge dismissed or finding of not guilty;                            |                                                                                                             |                                                                                                          |
|                                                | a. Misdemeanor charge under Art 5, Chap 90                              |                                                                                                             |                                                                                                          |
|                                                | b. Felony charge under 90-95(a)(3)                                      |                                                                                                             |                                                                                                          |
|                                                | i. Prior to 2012, less than 1 gram of cocaine                            |                                                                                                             |                                                                                                          |
|                                                | ii. 2012 and beyond, a controlled substance                            |                                                                                                             |                                                                                                          |
|                                                | 5. Not over 21 years of age at time of offense.                         |                                                                                                             |                                                                                                          |
|                                                |                                                                         |                                                                                                             |                                                                                                          |
|                                                    | 3. Petitioner was not over the age of 21 at the time of the offense.    |                                                                                                             |                                                                                                          |
|                                                    | 4. Petitioner has either successfully completed drug program or will ask the court to waive this requirement. |                                                                                                             |                                                                                                          |
| Drug Conviction Under Age 22                    | 1. Conviction for:                                                       | Silent as to whether multiple convictions occurring in same session of court may be treated as single conviction for expunction purposes.  
Judge may waive the drug education program requirement in extenuating circumstances.  
*According to AOC’s interpretation, individuals convicted of felony possession under GS 90-95(a)(3) prior to 2012 are only eligible for expunction under this statute if offense involved less than 1 gram of cocaine. Those convicted of felony possession under GS 90-95(a)(3) in 2012 and beyond are eligible for expunction no matter the quantity or the specific controlled substance.  
**AOC has interpreted the statute to require that the petitioner have no other misdemeanor or felony convictions in order to receive an expunction under this statute. Based on the construction of the statute, I firmly believe that this is an erroneous interpretation and that someone with a subsequent misdemeanor conviction unrelated to controlled substances (for example, misdemeanor larceny) would still be eligible for expunction.  
To discuss either of these interpretations feel free to call me at (919) 861-2061. | Form: AOC-CR-266  
Cost: $175 (but may file indigent status fee waiver)  
Required Affidavits: None |
<p>| NCGS 15A-145.2(c)                              | a. Misdemeanor Drug Possession (under Chapter 90, Article 5);           |                                                                                                             |                                                                                                          |
|                                                | b. Misdemeanor Drug Paraphernalia (under GS 90-113.22); OR             |                                                                                                             |                                                                                                          |
|                                                | c. Felony Possession under GS 90-95(a)(3)*                             |                                                                                                             |                                                                                                          |
|                                                | 2. At least 12 months have passed since the date of conviction.         |                                                                                                             |                                                                                                          |
|                                                | 3. Petitioner was not over the age of 21 at the time of the offense.    |                                                                                                             |                                                                                                          |
|                                                | 4. Petitioner has either successfully completed drug program or will ask the court to waive this requirement. |                                                                                                             |                                                                                                          |
|                                                | 5. Petitioner has no other felony conviction, misdemeanor conviction under Chapter 90, or any conviction related to controlled substances. ** |                                                                                                             |                                                                                                          |
|                                                | 6. No prior expunction under 15A-145.2(c).                             |                                                                                                             |                                                                                                          |</p>
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<tbody>
<tr>
<td>Dismissed Charge</td>
<td>1. Charge dismissed under G.S. 90-113.14(a) or (a1) diversionary program.</td>
<td>*There is a significant discrepancy here. Statute only seems to require the 2</td>
<td>Form: <a href="#">AOC-CR-268</a></td>
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<tr>
<td>Toxic Vapors/Drug Paraphernalia Charge Under 22</td>
<td>2. Not over 21 years of age at time of offense.*</td>
<td>conditions listed here for eligibility. However, statute also requires affidavit</td>
<td>Cost: $175 (unclear if you can file for indigent fee waiver)</td>
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<td>NCGS 15A-145.3(a)</td>
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<td>stating that petitioner has no other convictions. If that's the case, then much more</td>
<td>Required Affidavits: Petitioner affidavit stating has</td>
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<td>narrow than §15A-146—and so the only time to use this is if petitioner has previous</td>
<td>not been convicted of any other conviction. Affidavits</td>
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<td>expunction under §15A-146.</td>
<td>of 2 others attesting to good reputation.</td>
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<tr>
<td>Dismissed Charge</td>
<td>1. Misdemeanor charge:</td>
<td>Silent as to whether multiple convictions occurring in same session of court may be</td>
<td>Form: <a href="#">AOC-CR-268</a></td>
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<tr>
<td>Toxic Vapors/Drug Paraphernalia Charge Under 22</td>
<td>a. Under Article 5A, Chapter 90</td>
<td>treated as single conviction for expunction purposes. Judge may waive the drug</td>
<td>Cost: $175 (unclear whether you can file for indigent</td>
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<tr>
<td>NCGS 15A-145.3(b)</td>
<td>b. For possession of drug paraphernalia under GS 90-113.22</td>
<td>education program requirement in extenuating circumstances. **AOC has interpreted the</td>
<td>fee waiver) Required Affidavits: None</td>
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<td>2. Charge dismissed or finding of not guilty;</td>
<td>statute to require that the petitioner have no other misdemeanor or felony convictions</td>
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<td>3. Not over 21 years of age at time of offense.</td>
<td>in order to receive an expunction under this statute. Based on the construction of the</td>
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<td>statute (use of “may” and “shall”), I firmly believe that this is an erroneous</td>
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<td>interpretation and that someone with other convictions not related to controlled</td>
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<td>substances may be eligible for expunction under this statute. To discuss this</td>
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<td>interpretation feel free to call me at (919) 861-2061.</td>
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<tr>
<td>Toxic Vapors/Drug Paraphernalia Conviction Under Age 22</td>
<td>1. Misdemeanor conviction under Chapter 90, Article 5A.</td>
<td>**  **AOC has interpreted the statute to require that the petitioner have no other</td>
<td></td>
</tr>
<tr>
<td>NCGS 15A-145.3(c)</td>
<td>2. At least 12 months have passed since the date of conviction.</td>
<td>misdemeanor or felony convictions in order to receive an expunction under this statute.</td>
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<td>3. Petitioner was not over the age of 21 at the time of the offense.</td>
<td>Based on the construction of the statute (use of “may” and “shall”), I firmly believe</td>
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<td>4. Petitioner has either successfully completed drug program or will ask</td>
<td>that this is an erroneous interpretation and that someone with other convictions not</td>
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<td>the court to waive this requirement.</td>
<td>related to controlled substances may be eligible for expunction under this statute.</td>
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<td>5. Petitioner has no other conviction under Articles 5, 5A, or 5B of</td>
<td>To discuss this interpretation feel free to call me at (919) 861-2061.</td>
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<td>Chapter 90, or any other conviction related to controlled substances.</td>
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| **Nonviolent Felony Under 18** | **NCGS 15A-145.4** | 1. Conviction of a nonviolent felony *  
   a. Multiple felonies may be treated as one conviction ONLY if convictions occurred in the same session of court.  
2. Date of offense for felony conviction was prior to 18th b'day.  
3. No prior misdemeanor or felony convictions, other than traffic violations. (see FAQ 10)  
4. No subsequent misdemeanor or felony convictions, other than traffic violations, within 4 year waiting period.  
5. At least 4 years have passed since the date of conviction. **  
6. The individual has fully completed his or her sentence (incl. probation)  
7. The petitioner has no outstanding warrants or pending criminal cases  
9. Petitioner has performed 100 hours of community service since conviction and has a HS diploma or GED. | *The term nonviolent misdemeanor or nonviolent felony means any misdemeanor or felony EXCEPT:  
A. a Class A - G felony or a Class A1 misdemeanor  
B. An offense that includes assault as an essential element of the offense  
C. An offense that requires sex offender registration (Article 27A, Chapter 14)  
D. Specified stalking offenses (see 15A-145.5(a)(4)  
E. Any felony offense in Chapter 90 that involves methamphetamines, heroin, or poss with intent to sell or deliver cocaine except that if a PJC has been entered for an offense classified as either a Class G, H, or I felony  
F. Specified hate crimes (see 15A-145.5(a)(6) and 15A-145.5(a)(7)  
G. Any felony offense in which a commercial motor vehicle was used in the commission of the crime | **Form: AOC-CR-279**  
Cost: $175 (but may file indigent fee waiver)  
Required Affidavits:  
a. Petitioner affidavit stating:  
i. Has good moral character since date of conviction  
ii. No convictions other than traffic violations***  
iii. Details of 100 hrs of community service  
iv. Has a HS diploma or GED  
b. 2 affidavits confirming good character from 2 individuals not related to client (or each other) who are familiar with the clients reputation in the community  
Notice: must provide notice to DA | **In drafting the petition form, AOC has interpreted the statute to require a waiting period of 4 years from the date the sentence is completed (as opposed to the date of conviction). This interpretation is currently being challenged.**  
Judge has discretion whether or not to grant expunction for eligible individuals. If court denies petition, the order must include a finding as to the reason for the denial.  
***This statute has a significant discrepancy. According to 15A-145.4(e), a person may not be granted an expunction if they have a conviction within the waiting period—however, a conviction after the waiting period would not make them ineligible for expunction. However, 15A-145.4(c)(1) requires that the affidavit affirm that the petition has not been convicted of any other misdemeanor or felony since the nonviolent felony conviction.
## Summary of North Carolina Expunctions

**Prepared by:** C. Daniel Bowes, Attorney, NC Justice Center

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</table>
| **Nonviolent Misdemeanor or Felony (Any Age)** | 1. One nonviolent felony or nonviolent misdemeanor conviction*  
   a. Multiple convictions may be treated as one conviction ONLY if convictions occurred in the same session of court.  
   2. No other misdemeanor or felony convictions, other than traffic violations.  
   3. At least 15 years have passed since the date of conviction. **  
   4. The individual has fully completed his or her sentence (incarceration, probation, etc).  
   5. The petitioner has no outstanding warrants or pending criminal cases  
   6. No previous expunctions under 15A-145, -145.1, -145.2, -145.3, -145.4, -145.5 | *The term nonviolent misdemeanor or nonviolent felony means any misdemeanor or felony EXCEPT:  
   A. a Class A-G felony or a Class A1 misdemeanor  
   B. An offense that includes assault as "an essential element of the offense"  
   C. An offense that requires sex offender registration (Article 27A, Chapter 14)  
   D. Specified stalking offenses (see 15A-145.5(a)(4))  
   E. Any felony offense in Chapter 90 that involves methamphetamines, heroin, or poss with intent to sell or deliver cocaine  
   F. Specified hate crimes (see 15A-145.5(a)(6) and 15A-145.5(a)(7))  
   G. Any felony offense in which a commercial motor vehicle was used in the commission of the crime | Form: **AOC-CR-281**  
Cost: $175 (but may file indigent status fee waiver) | Required Affidavits:  
   a. Petitioner affidavit stating:  
      i. Has good moral character since date of conviction  
      ii. No convictions (other than traffic violations) since conviction  
      iii. Petition is a motion in the cause  
      iv. No outstanding restitution order  
   b. 2 affidavits confirming good character from 2 individuals not related to client (or each other) who are familiar with the clients' reputation in the community | Notice: must provide notice to DA.  
Judge has discretion whether or not to grant expunction for eligible individuals. If court denies petition, the order must include a finding as to the reason for the denial. **In drafting the petition form, AOC has interpreted the statute to require a waiting period of 15 years from the date the sentence is completed, rather than 15 years from the date of conviction. Many practitioners believe this is an inaccurate interpretation of the statute. A prospective petitioner 15 years beyond the date of conviction but not yet 15 years beyond the completion of his sentence should be aware of this issue and prepared to address it to the court.**
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| **Prostitution Offenses** | **NCGS 15A-145.6** | 1. Conviction for a prostitution offense:  
   a. under GS §14-204 (after 9/30/2013)  
   b. under GS §14-204(7) (prior to 10/1/2013)  
2. No prior convictions for any violent felony or violent misdemeanor.  
3. Satisfies one of the following three criteria:  
   a. Participation in the prostitution offense was a result of having been a trafficking victim  
   b. The person has no prior convictions for a prostitution offense and at least 3 years have passed since the date of conviction or the completion of any sentence, whichever is later  
   c. Petitioner received a conditional discharge pursuant to GS §14-204(b)  
4. No subsequent misdemeanor or felony convictions, other than traffic violations.  
5. No outstanding warrants or pending criminal cases  
6. No outstanding restitution orders or civil judgments representing amounts ordered for restitution |  
|  |  | "Violent felony or violent misdemeanor": "A class A – G felony or a Class A1 misdemeanor that includes assault as an essential element of the offense." |  
|  |  | Form: **AOC-CR-282**  
Cost: $175 (but may file indigent status fee waiver)  
Required Affidavits:  
   a. Petitioner affidavit stating:  
      i. Petitioner has no prior conviction of a violent felony or violent misdemeanor,  
      ii. Has been of good moral character since the date of the conviction of prostitution offense in question,  
      iii. Has not been convicted of any felony or misdemeanor since the date of the conviction of the prostitution offense in question,  
      iv. No restitution orders or civil judgments representing amounts ordered for restitution entered against the petitioner are outstanding.  
   b. 2 affidavits confirming good character from 2 individuals not related to client (or each other) who are familiar with the clients reputation in the community  
Notice: must provide notice to DA |
<table>
<thead>
<tr>
<th>Expunction Type/Statute</th>
<th>Criteria</th>
<th>Additional Information</th>
<th>Filing Requirements</th>
</tr>
</thead>
</table>
| Charges Not Resulting in Conviction | NCGS 15A-146 | 1. Felony charge or misdemeanor charge was disposed of by a dismissal, finding of not guilty, or finding of not responsible.  
   a. Multiple charges may be expunged if each alleged offense occurred within the same 12 month period OR all the charges were resolved in the same session of court.  
   b. No requirement that the charges are related to the same transaction or occurrence—simply need to be within same 12 month period.  
2. Petitioner has not been convicted of a felony.*  
3. Petitioner has not previously received an expunction under §§15A-145, -145.1, -145.2, -145.3, -145.4, -145.5, or -146. | Relief is mandatory. If petitioner is eligible, court is required to grant expunction.  
Two open questions:  
A. Whether an individual who is charged with one offense but pleads guilty to a lesser offense is eligible for an expunction of the original charge as a dismissed charge?  
B. Is a charge that has been “dismissed with leave”—meaning that it can be reinstated at any time—eligible for expunction?  
*Misdemeanor convictions do not affect eligibility for expunction under §15A-146. | Form: [AOC-CR-264](#)  
Cost: $0, unless dismissal occurred as the result of the completion of a diversionary program, then $175 (but may file indigent status fee waiver)  
Required Affidavit None.  
** Petitions for expunction of multiple charges are the petitions most often filed incorrectly. If a petition is filed for multiple charges where the dates of the offenses are not within the same 12 month period (or the same disposition date) the court will likely deny the entire petition. Accordingly, if you are petitioning for expunction of multiple charges be sure that each of the charges have a date of offense within the same 12 month period OR all the dismissed charges have the same date of disposition. |
| Identity Theft | NCGS 15A-147 | 1. The identity of the petitioner was used without permission of the petitioner and this use resulted in a charge(s) against the petitioner. | Form: [AOC-CR-263](#)  
Cost: $0  
Required Affidavits: None.  
Must provide notice to DA. |
| DNA Records | NCGS 15A-148 | One of the following grounds exist for expunction of an individual’s DNA sample from the state DNA databank:  
A. Charge has been dismissed;  
B. Defendant has been acquitted of the charge;  
C. Defendant has been convicted of a lesser-included misdemeanor offense that is not an offense requiring a DNA sample;  
D. No charge was filed within statute of limitations; OR  
E. No conviction has occurred, at least 3 years have passed since the date of arrest, and no active prosecution is occurring. | Form: [AOC-CR-640](#)  
As of June 1, 2012, an individual is no longer required to file a petition for expunction of the DNA sample. Now, if an individual is eligible for expunction of the DNA sample, “no request form shall be required and the prosecuting district attorney shall initiate the procedure” for expunction. Accordingly, AOC-CR-640 is the form the prosecutor must complete as necessary. |
| Pardon of Innocence | NCGS 15A-149 | Received a pardon of innocence pursuant to NCGS 147-25. | Form: [AOC-CR-265](#)  
Cost: $0  
Must attach copy of pardon of innocence to petition. |
<table>
<thead>
<tr>
<th>Statute</th>
<th>Criteria</th>
<th>Additional Information</th>
<th>Filing Requirements</th>
</tr>
</thead>
</table>
| 1. | Convicted of no more than two Class G, H, or I felonies or misdemeanors in one session of court. | A certificate of relief is not an expunction but may provide several types of significant relief, including: | Form: [AOC-CR-273](#)  
Cost: $0  
Required Affidavits: None, but petitioner may submit additional materials to support the claims made in this petition at the hearing.  
Notice: must provide notice to DA at least 3 weeks prior to hearing |
| 2. | No other convictions for a felony or misdemeanor other than a traffic violation. | | |
| 3. | At least 12 months have passed since the individual completed his or her criminal sentence (including probation). | | |
| 4. | Individual is either:  

a. Engaged in, or seeking to engage in, a lawful occupation or activity, OR  
b. Has a lawful source of support. | | |
| 5. | No criminal charges are currently pending against the individual. | | |
| 6. | No previous petition for a certificate of relief has been filed, or at least 12 months have passed since the denial of the previous petition. | | |
| 7. | Granting the petition would not pose an unreasonable risk to the safety or welfare of the public or any individual. | | |

**Certificate of Relief**

**NCGS 15A-173**

In order to automatically qualify for an indigent fee waiver you must complete form AOC-G-106* and be a current recipient of one of the following:

1. Food stamps  
2. Aid to Families with Dependent Children (AFDC)  
3. Supplemental Security Income (SSI)  
4. Representation by legal services organization (or private attorney working on behalf of legal services organization)

An individual not currently receiving one of these benefits, must submit a affidavit of Indigency (AOC-CV-226)

*When completing form AOC-G-106 for purposes of expunction check the box that says, “Filing a Motion...”

**Indigent Fee Waiver/Civil Affidavit of Indigency**

**Form:**  
[AOC-G-106](#)  
[AOC-CV-226](#)

**Cost:** $0  
**Required Affidavits:**  
An individual may be required to provide supporting documentation.
How to Read an ACIS Criminal Record Report

Criminal background reports are often difficult to interpret, reflecting the complex nature of criminal proceedings. Particularly hard to interpret are records accessed directly from the North Carolina Court System using the Automated Criminal/Infractions System (ACIS). ACIS records are accessible at each local court house either by request (see FAQ 1) or by using a public terminal. ACIS relies on codes and fields that are not intuitive. The picture tutorial below highlights the information and fields relevant to petitioning for an expunction of a criminal charge or conviction. Common ACIS codes are also provided below. On the next page is a petition form completed for the specific criminal record below.

Common ACIS Abbreviations

<table>
<thead>
<tr>
<th>Abbreviation</th>
<th>Description</th>
</tr>
</thead>
<tbody>
<tr>
<td>CPD</td>
<td>City Police Department</td>
</tr>
<tr>
<td>CR</td>
<td>Criminal District Court</td>
</tr>
<tr>
<td>CRS</td>
<td>Criminal Superior Court</td>
</tr>
<tr>
<td>DD</td>
<td>Dismissal – Deferred Prosecution</td>
</tr>
<tr>
<td>F</td>
<td>Felony Offense</td>
</tr>
<tr>
<td>M</td>
<td>Misdemeanor Offense</td>
</tr>
<tr>
<td>I</td>
<td>Infraction (non-criminal offense)</td>
</tr>
<tr>
<td>GL</td>
<td>A Plea or Finding of Guilty to a Lesser Offense</td>
</tr>
<tr>
<td>GU</td>
<td>A Plea of Finding of Guilty to the Offense</td>
</tr>
<tr>
<td>JU</td>
<td>Disposed by a Judge</td>
</tr>
<tr>
<td>NG</td>
<td>Not Guilty</td>
</tr>
<tr>
<td>NP</td>
<td>No Probable Cause</td>
</tr>
<tr>
<td>OF</td>
<td>Offense Date</td>
</tr>
<tr>
<td>PJ</td>
<td>Prayer for Judgment Continued</td>
</tr>
<tr>
<td>PO</td>
<td>Process/Probation Other</td>
</tr>
<tr>
<td>PROB</td>
<td>Probation</td>
</tr>
<tr>
<td>REST</td>
<td>Amount of Restitution Ordered by the Court</td>
</tr>
<tr>
<td>SENT</td>
<td>Sentence Length Imposed in Months, Days, Years</td>
</tr>
<tr>
<td>SFF</td>
<td>Sheriff’s Department</td>
</tr>
<tr>
<td>VD</td>
<td>Voluntarily Dismissed Without Leave (by DA)</td>
</tr>
<tr>
<td>VL</td>
<td>Voluntarily Dismissed With Leave (may be Reinstated)</td>
</tr>
<tr>
<td>VER</td>
<td>Verdict</td>
</tr>
</tbody>
</table>

For additional system Code Definitions, see side two of FORM AOC-CR-314, located here: [http://www.nccourts.org/Forms/Documents/112.pdf](http://www.nccourts.org/Forms/Documents/112.pdf)
STATE OF NORTH CAROLINA

WAKE County

STATE VERSUS

JANE E. DOE
123 MAIN STREET
DURHAM, NC 27701

Drivers License No. 123-45-6789
Date Of Birth 01/23/1945
Full Social Security No. 01/23/1945

PETITION AND ORDER OF EXPUNGEMENT UNDER G.S. 15A-145.5
(NONVIOLENT FELONY OR NONVIOLENT MISDEMEANOR)

Name And Address Of Attorney For Expungement Petition

RALEIGH POLICE DEPARTMENT
6716 SIX FORKS ROAD
RALEIGH, NC 27615

NOTE TO PETITIONER: List the arresting agency and any State or local government agency that has a record of your case. You must provide complete information for each agency. The clerk of superior court will send a copy of this order if granted, to the agency (name and address) provided below. The clerk will not provide addresses for you. Do not list the courts, the Division of Adult Correction or the Division of Motor Vehicles; if the order is granted, those agencies will be notified automatically. Do not list any private entity, like a company that provides criminal background checks. The clerk will not send a copy of this order to any entity that is not an agency of the State of North Carolina or one of its local governments. A private entity required to expunge records will be notified directly by the State or local agencies that distribute criminal justice information to that entity.

PETITION/MOTION TO EXPUNGEMENT

I hereby move for an expunction pursuant to G.S. 15A-145.5 and certify as follows:
1. I pled guilty to or was found guilty of the nonviolent felony(ies) and/or misdemeanor(ies) listed above, and further certify that:
   a. No offense listed above is a Class A1 misdemeanor or more serious than a Class H felony.
   b. No offense listed above is among the exceptions in G.S. 15A-145.5(a) that are ineligible for expunction.
   c. At least fifteen (15) years have passed since the later of the date of conviction or when my active sentence, probation, or period of post-release supervision resulting from the offense(s) listed above was completed. My sentence, period of probation, or period of post-release supervision was finally completed on 11/25/1998
2. Other than the conviction(s) listed above, I have not been convicted of any felony or misdemeanor, other than a traffic violation.
3. I have attached to this petition the affidavits required by G.S. 15A-145.5(c).

I certify that this petition and the required affidavits have been filed in this case and that the information set forth above is a complete and accurate statement of the information on file in the office of the clerk of superior court. I hereby request and authorize a name-based State and National Criminal record check by the NC Department of Justice, a search by the Department of Justice for any outstanding warrants or pending criminal cases, and a search of the confidential record of expunctions maintained by the NC Administrative Office of the Courts.

CERTIFICATE OF SERVICE

I certify that a copy of this motion was served by:
- delivering a copy personally to the prosecutor.
- depositing a copy enclosed in a postcard properly addressed envelope in a post office or official depository under the exclusive care and custody of the U.S. Postal Service directed to the prosecutor.
- leaving a copy at the office of the prosecutor with an associate or employee.

REQUEST BY JUDGE

To The State Bureau Of Investigation, Attn: CIIS Expungement Unit, P.O. Box 26590, Raleigh, NC 27626:
Please prepare, certify on the reverse side, and attach to this Request any Criminal History Record Information (CHRI) and the results of a search for outstanding warrants or other pending criminal cases for the petitioner. Then forward this Request with CHRI attached, confidentiality to: Records Officer, Administrative Office of the Courts.
To The Records Officer, Administrative Office of the Courts, P.O. Box 2448, Raleigh, NC 27602:
Complete the report on the reverse side and return it, along with the information attached by the SB, to the Clerk of Superior Court.

© 2013 Administrative Office of the Courts
NOTE: Petition for expunction must be heard in same division (District (CR) or Superior (CRS)) as the original charge.

<table>
<thead>
<tr>
<th>NOTE: Be sure to include the arresting agency information</th>
</tr>
</thead>
<tbody>
<tr>
<td>NOTE: If applying for expunction of a misdemeanor conviction under 18, complete this section.</td>
</tr>
<tr>
<td>NOTE: If applying for expunction of a dismissed charge(s), complete this section.</td>
</tr>
<tr>
<td>NOTE: If applying for expunction of dismissed charge, mark this box unless the charge was dismissed due to completion of a diversionary program.</td>
</tr>
</tbody>
</table>
NORTH CAROLINA

[A] COUNTY

STATE OF NORTH CAROLINA,

Plaintiff,

vs.

[C] Defendant.

NOW COMES the Defendant, who, after first being duly sworn, deposes and says:

1. I am the defendant in the above-captioned matter.

2. This sworn statement is made in support of my petition to expunge a charge from my criminal record.

3. The attached petition is a motion in the cause in the case wherein I was convicted.

4. I have been of good moral character and have not been convicted of __[D, E, F, or G] depending on type of expunction—read below__________________________

5. No restitution orders or civil judgments representing amounts ordered for restitution entered against me are outstanding.

   This the [H] day of [I], 201 [J].

   [H]: Day completed affidavit (must be same day notarized).

   [J]: Month completed affidavit.

   [K]: Your signature.

   [L]: Print your name.

   [M]: A Notary Public will need to complete this portion of the affidavit. For more information on finding a Notary Public, please visit the NC Secretary of State website here: http://www.secretary.state.nc.us/soskb.web.mvc/NotaryPublic/Search

Sworn to and subscribed before me

on this the [M] day of [M], 201.

Notary Public

My Commission Expires: [M]
STATE OF NORTH CAROLINA, )
   Plaintiff, )
   vs. )
   )
   Defendant. )

NOW COMES the Defendant,___________________, who, after first being duly sworn, deposes and says:

1. I am the defendant in the above-captioned matter.

2. This sworn statement is made in support of my petition to expunge a charge from my criminal record.

3. The attached petition is a motion in the cause in the case wherein I was convicted.

4. I have been of good moral character and have not been convicted of ____________________
____________________________________________________________________________________
____________________________________________________________________________________

5. No restitution orders or civil judgments representing amounts ordered for restitution entered against me are outstanding.

This the _____ day of ________________, 201_.

________________________________________
__________________, Affiant

Sworn to and subscribed before me
on this the _____ day of ________________, 201_.

________________________________________
Notary Public

My Commission Expires: ____________
NORTH CAROLINA A: Print here the county where the conviction occurred

STATE OF NORTH CAROLINA, Plaintiff,

vs. [D] ______________

Defendant.

The Affiant,_________[E]____________________, after first being duly sworn, deposes and says:

1. I am a personal acquaintance of the Defendant in the above-captioned matter.

2. This statement is in support of the Defendant’s petition to expunge a conviction from his/her criminal record.

3. I am not related to the Defendant by blood or marriage, nor am I related to the other Affiant by blood or marriage.

4. I have known the Defendant for _[F_]_ years. F: Print here the number of years affiant has known defendant.

5. I live in the same community as the Defendant.

6. I know the character and reputation of the Defendant in the community in which Defendant lives. Defendant’s character and reputation in Defendant’s community are good.

This the _[G_]_ day of _[H_]_, 201 _[I_]_. I: Year

_G_: Day Affiant completed affidavit

_H_: Month

_[J]_____________________, Affiant

Sworn to and subscribed before me

on this the _[L_]_ day of _[L_]_, 201 _[L_]_. L: A Notary Public will need to complete this portion of the affidavit. For more information on finding a Notary Public, please visit the NC Secretary of State website here: http://www.secretary.state.nc.us/soskb.web.mvc/NotaryPublic/Search

_[L]_____________________

Notary Public

My Commission Expires: _[L]_
NORTH CAROLINA
IN THE GENERAL COURT OF JUSTICE

COUNTY

STATE OF NORTH CAROLINA,
Plaintiff,

vs.

Defendant.

AFFIDAVIT OF
GOOD CHARACTER

The Affiant, ______________________________, after first being duly sworn, deposes and says:

1. I am a personal acquaintance of the Defendant in the above-captioned matter.

2. This statement is in support of the Defendant’s petition to expunge a conviction from his/her criminal record.

3. I am not related to the Defendant by blood or marriage, nor am I related to other Affiant by blood or marriage.

4. I have known the Defendant for ____ years.

5. I live in the same community as the Defendant.

6. I know the character and reputation of the Defendant in the community in which Defendant lives. Defendant’s character and reputation in Defendant’s community are good.

This the _____ day of ________________, 201_.

____________________________________

Affiant

Sworn to and subscribed before me
on this the __ day of ________________, 201_.

______________________________
Notary Public

My Commission Expires: ______________
SUMMARY OF NORTH CAROLINA EXPUNCTIONS

PREPARED BY C. Daniel Bowes, Attorney, NC Justice Center

FREQUENTLY ASKED QUESTIONS

In petitioning for relief under North Carolina’s expunction statutes, the following are frequently asked questions regarding terms, interpretations, and procedures:

1. **How do I obtain a complete and accurate account of petitioner’s criminal record?**
   A. Gaining access to a complete and accurate copy of a person’s criminal record is no easy task. There are several avenues to gain access to a criminal record, but each has its pros and cons:
   i. County Clerk of Court: A copy of an individual’s criminal record may be obtained at the Clerk of Court’s Office in the county in which he or she was charged with a crime. A criminal record provided by a Deputy Clerk of Court will only contain records of charges or convictions that occurred in that county. A certified copy of a criminal record is usually $25. To access a certified copy of a criminal record, you must submit form AOC-CR-314, located here: http://www.nccourts.org/Forms/Documents/112.pdf. However, most clerks make an “uncertified” copy available for a fraction of that fee.
   ii. NC State Bureau of Investigation (SBI): An individual may request a copy of his or her statewide criminal record from the NC SBI. The individual must submit a “Right to Review” form, along with a completed fingerprint card. The cost of the request is $14. Sheriff’s departments typically charge $25 to complete a fingerprint card. The “Right to Review” form is accessible here: http://ncdoj.gov/getdoc/43801f2b-e869-48ca-90c7-e323301a791d/Right-to-Review_Read-Print-ONLY.aspx
   iii. Adverse Action: When a prospective employer denies employment to a job applicant or otherwise takes “adverse action” based on an applicant’s criminal history, the employer is usually required to provide to the applicant a copy of any criminal background report generated by a commercial provider. For more information, please visit the Fair Credit Reporting Act website here: http://www.consumer.ftc.gov/sites/default/files/articles/pdf/pdf-0096-fair-credit-reporting-act.pdf
   iv. Commercial Provider: An individual may obtain a criminal background report from a commercial provider at varying prices. These can provide state and federal criminal record information but are often inaccurate.

2. **How do I identify and obtain the correct petition form?**
   A. A prospective petitioner or petitioner’s attorney must first determine the specific type of expunction for which a petitioner may be eligible because each type of expunction has a specific petition form. If using a digital version of this summary, one may use the hyperlinks contained under the “Filing Requirements” section for each expunction. Otherwise, one may use the form number (also contained in the “Filing Requirements” section) to obtain the specific petition form from the Clerk of Court’s Office or the Administrative Office of the Court’s (AOC) website, http://www.nccourts.org/forms/formsearch.asp (enter the form number, ex. AOC-CR-264, into the field labeled, “Form Number,” and click “Search”).

3. **How do I file a completed petition for expunction?**
   A. A petition for expunction must be filed in the county in which the charge or conviction for which the petitioner is seeking expunction occurred. Each county has particular petition procedures that can vary widely. In order to determine exactly how one should file a petition for expunction in that county, petitioner or petitioner’s attorney should speak with a deputy clerk of court in that county. For example, some counties allow a petitioner to submit a petition to the Clerk of Court’s Office once the petitioner has completed the sections requiring biographical information, arresting agency,
offense description, and motion to expunge. In those counties, the Clerk of Court will then provide notice to the District Attorney (having them complete the “certificate of service” section of the petition form), obtain the presiding judge’s signature, and mail the completed petition form and any accompanying affidavits to the SBI/AOC. However, in other counties, the petitioner is expected to not only complete the biographical, arresting agency, offense description, and motion to expunge sections, but is also expected to provide notice to the District Attorney (having them complete the certificate of service), obtain the presiding judge’s signature, and mail the petition and any affidavits directly to the SBI/AOC. Similarly, a few counties require a certified copy of petitioner’s criminal record to be submitted with the petition for expunction (see FAQ 1). That is all to say, whether a petitioner or a petitioner’s attorney, one must learn from the Clerk of Court’s Office the county specific procedures for filing a petition for expunction.

4. What is the overall process for petitioning for expunction of a criminal record?
   A. Typically, a completed petition form is submitted to the Clerk of Court in the county in which the criminal incident giving rise to the criminal record for which petitioner is seeking expunction occurred. The petition is then submitted to the SBI and the AOC. As noted in FAQ 3, some counties require a petitioner to submit the completed petition form directly to the SBI/AOC. In either case, the SBI conducts a state criminal background check and obtains a federal background check from the Federal Bureau of Investigation. The AOC determines and indicates on the petition form whether a petitioner has previously received an expunction. The petition form and criminal background check are returned to the Clerk of Court’s Office. As of January 1, 2014, this process took 4 months. However, recent increased staffing at the SBI is expected to significantly lower this wait time. Once a petition for expunction is returned to a Clerk of Court’s Office, processes vary widely by county and by type of expunction. In some counties, the petition packet is provided directly to the presiding judge for all expunctions, and an order either granting or denying the petition is issued without a hearing. In other counties, notice is provided to the petitioner or petitioner’s attorney when the packet is returned from the SBI/AOC and he or she is responsible for scheduling (also called “calendaring”) a hearing before the presiding judge. And in yet other counties, the process varies by type of expunction—for example, petitions for expunction of dismissed charges are reviewed without a hearing, while petitions for expunction of nonviolent offenses are scheduled for hearing. Again, petitioner or petitioner’s attorney will need to speak to the staff at the Clerk of Court’s office in order to learn the processes specific to the county in which the petition for expunction is being filed. If an order for expunction is granted, the order is delivered to the arresting agency and then the SBI—at which time the record is actually destroyed. As of January 1, 2014, the time between the order being granted and the record actually being expunged is approximately 4 months. Again, due to additional SBI staffing, this wait time is expected to decrease. The total wait time from the filing of the petition to the actual expunging of a criminal record is approximately 9 months, as of January 1, 2014.

5. What is a certificate of service?
   A. Most expunction statutes require notice of the petition for expunction to be provided to the Office of the District Attorney. Accordingly, many of the petition forms have a “certificate of service” section where a representative from the Office of the District Attorney certifies with their signature that the office was properly provided a copy of the petition for expunction. Petitioner should speak to the Clerk of Court’s office to determine the specific procedures for completing the certificate of release. Again, procedures vary by county—some counties, for example, allow the petition to be submitted to the Clerk of Court’s office and the Clerk of Court’s office notifies the DA, while other
Summary of North Carolina EXPUNCTIONS

6. What if the county of disposition requires there to be a hearing on the petition?
   A. As previously mentioned, procedures for submitting a petition for expunction vary by county and type of expunction. So too vary the practices for reviewing the petitions. In many counties, upon their return from the SBI/AOC, petitions are reviewed by a judge without a formal hearing. In these counties, petitioners are not typically informed when the petition is returned from the SBI/AOC and prior to a judge’s review; instead, petitioners are informed only after a judge has granted or denied the petition for expunction. In other counties, petitioners must appear before the court in a formal hearing. In such circumstances, it is very important that the petitioner ask the Clerk of Court how to schedule the hearing on the petition. Such hearings are typically evidentiary, meaning the petitioner is given the opportunity to present evidence and arguments to demonstrate that he or she is eligible for expunction. Most of the expunction statutes require the court to grant the expunction if the client is eligible. However, NCGS 15A-145.5 Expunction of Nonviolent Offenses preserves the court’s discretion to grant or deny the petition, even where the individual is eligible for expunction. If the Office of the District Attorney opposes the petition, they will typically argue against the petition at this hearing.

7. Can an individual have an out-of-state or federal criminal record expunged under North Carolina’s expunction statutes?
   A. No. Only charges or convictions occurring under North Carolina law are eligible for expunction under North Carolina’s expunction statutes. Each state has its own statutes related to the expunction and/or sealing of criminal records.

8. Will a federal or out-of-state criminal record make an individual ineligible for expunction of charges and/or convictions that occurred in North Carolina and would otherwise be eligible for expunction?
   A. Yes, in many circumstances. A conviction under the laws of the United States or other states is treated as an “other felony or misdemeanor conviction” for purposes of expunctions in NC. Accordingly, if a specific expunction statute requires that an individual have “no other convictions,” then a federal or other state conviction will likely make that individual ineligible for relief in North Carolina. In processing each petition for expunction, the SBI obtains a nationwide background report from the Federal Bureau of Investigation.

9. How are Prayers for Judgment Continued (PJC)s treated for purposes of expunction?
   A. There is no definitive answer to this question. PJC’s are often treated as convictions because the defendants have admitted guilt (even while the judgments have been withheld). Accordingly, it is likely a court would treat a PJC as a conviction for purposes of expunction—meaning a PJC is potentially expungeable under NCGS 15A-145 – 15A-145.6, but is likely not expungeable under NCGS 15A-146 (expunction of dismissed charge). For more information on expunction of PJC’s, please see this article by the UNC School of Government: http://nccriminallaw.sog.unc.edu/?p=1453. A similarly open question of law is whether a PJC makes an individual ineligible for expunction of a separate criminal record because many expunction statutes require the petitioner to have “no other
conviction” or “no previous conviction.” If the court treats the PJC as a conviction for purposes of eligibility for expunction of a separate criminal record, then the PJC may make the petitioner ineligible for expunction. For a general discussion of this issue, please reach out to Daniel Bowes at (919) 861-2061.

10. What are “misdemeanor traffic violations”?
   A. Based on the broad use of the term “traffic violations” in Chapter 20 of the General Statutes, it is likely that a “misdemeanor traffic violation” should be interpreted to mean any misdemeanor offense contained in Chapter 20 of the General Statutes, including DUI. For a general discussion of this issue, please reach out to Daniel Bowes at (919) 861-2061.

11. Where an individual is charged with an offense and pleads guilty to a lesser included offense (ex. charge offense: (F) Possession with Intent to Sell or Distribute, conviction offense: (M) Possession of a Controlled Substance), is the original charge offense expungeable as a dismissed charge?
   A. Probably. While there is currently no case law on this issue, there is a growing consensus that in this common situation the charging offense has, in essence, been dismissed and therefore is expungeable as a dismissed charge under NCGS 15A-146. For a general discussion of this issue, please reach out to Daniel Bowes at (919) 861-2061.

12. Is the relevant misdemeanor or felony classification for purposes of expunction the classification at the time of the conviction or the current classification of the offense?
   A. There is no definitive answer to this question. All misdemeanor and felony offenses are classified for purposes of sentencing. Felonies are classified from A to I, with the most serious offenses being Class A offenses. Misdemeanors are classified A1, 1, 2, and 3, with the most serious offenses being Class A1 offenses and the least serious offenses being Class 3 offenses. Understandably, eligibility for expunction of a criminal conviction is often based on the classification of the offense. However, the expunction statutes are silent as to whether the relevant classification is the classification of the offense at the time of the conviction or the classification of the offense at the time the petition for expunction is submitted. This issue is important because the classification of an offense can change as reforms are made to the law. Such shifts can occur by targeted changes to specific offenses as occurs in every session of the legislature, or, as last occurred in 1994, as part of wholesale reform of our state’s sentencing laws. Accordingly, there will be a handful of circumstances where the classification at the time of conviction and at the time the individual petitions for expunction will be different and the petitioner’s eligibility for expunction will depend entirely upon a court’s interpretation of which classification is relevant. For example, conspiracy to commit armed robbery was a Class H offense in 1993, while conspiracy to commit armed robbery is now a Class E offense—a Class E felony is not eligible for expunction, while a Class H felony may be eligible for expunction. If petitioner would be eligible for expunction under one classification but not the other classification, please reach out to Daniel Bowes for a general discussion of this issue at (919) 861-2061.

13. At what age are individuals charged as adults in North Carolina?
   A. In North Carolina, juvenile jurisdiction ends after age 15, meaning individuals accused of criminal offenses are automatically charged as adults beginning at age 16 (note: individuals under age 16 accused of committing certain felony offenses may be charged as adults with the consent of the juvenile court). Accordingly, records of these charges and/or convictions are not sealed as juvenile adjudications; instead, these charges and/or convictions remain on individuals’ criminal records.
unless expunged. Please be aware that the age of juvenile jurisdiction may change in the near future as there are currently several bills before the General Assembly to “raise the age” of juvenile jurisdiction.

14. What if an individual has dismissed charges eligible for expunction in multiple counties?
   A. An individual eligible for expunction of multiple dismissed charges each occurring within the same 12-month period but in different counties should simultaneously file separate petitions for expunction in each of the counties. Each of the petitions should provide notice of the multiple filings to the AOC by attaching copies of the other filings.

15. If an individual receives an order of expunction for a criminal record, what is the likelihood of that criminal record ever showing up again in a criminal background check?
   A. When a criminal record is expunged, the record is erased from the records of the court as well as any other state agencies (including the arresting agency). The Administrative Office of the Courts keeps a single file of all expunction orders that is only accessible in very limited circumstances set forth in NCGS §15A-151. Private companies that have contracted with AOC to purchase the information are also required to destroy any expunged records. Companies not doing so are potentially liable for damages under GS §15A-152 (Civil Liability for Dissemination of Certain Criminal History Information). However, more and more cases are arising where the record is being sold or otherwise transferred so far downstream that the records are not being destroyed. Accordingly, there is a significant chance that despite the record being expunged it will at some point appear on a background report. In a circumstance where the expunged record does appear on a criminal background report, the petitioner may lawfully deny the charge or conviction occurred. However, many individuals in this circumstance have found it useful to explain that the criminal record has been expunged by court order.

16. Can an employer inquire as to whether an individual has previously received an expunction?
   A. According to North Carolina General Statutes §15A-153, a private employer or educational institution “shall not, in any application, interview, or otherwise, require an applicant for employment or admission to disclose information concerning any arrest, criminal charge, or criminal conviction of that applicant that has been expunged.” The statute also explains that an applicant need not answer such unlawful expunction inquiries if they do occur. For more information see here: http://www.ncga.state.nc.us/Sessions/2013/Bills/Senate/PDF/S91v6.pdf.

17. What if an individual is not eligible for an expunction of his or her criminal record?
   A. Many of the 1.6 million North Carolinians with criminal histories need and are deserving of relief from the collateral consequences of their criminal records. Unfortunately, due to the limited nature of expunction opportunities in NC, most of these individuals will not find meaningful relief under North Carolina’s expunction statutes. The following are potential alternative sources of legal relief:
   i. Title VII of the Civil Rights Act of 1964: Title VII prohibits employment discrimination based on race, gender, and other protected classes. While individuals with criminal records are not a protected class, Title VII protections have been partially extended to minorities with criminal records based on a disparate impact theory. For guidance on these protections, please visit here: http://www.eeoc.gov/laws/guidance/arrest_conviction.cfm. To learn more about filing a Title VII charge of discrimination, please visit here: http://www.eeoc.gov/employees/charge.cfm.
ii. Title VIII of the Civil Rights Act of 1964: Title VIII prohibits housing discrimination based on race, gender, and other protected classes. While individuals with criminal records are not a protected class, Title VIII protections have been partially extended to minorities with criminal records based on a disparate impact theory. For information on how to file a complaint with the US Dept of Housing and Urban Development, please see here: http://portal.hud.gov/hudportal/HUD?src=/program_offices/fair_housing_equal_opp/complaint-process

iii. Fair Credit Reporting Act: Employer and landlord use of criminal background checks are often regulated under the Fair Credit Reporting Act. For more information please visit here: http://www.consumer.ftc.gov/sites/default/files/articles/pdf/pdf-0096-fair-credit-reporting-act.pdf